



2008 Network Continuous Improvement Plans (NCIP)

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Application Process

- Applications must be received by KDHE by 5:00 PM, May 23, 2008.
- Applications must include:
 - KDHE face sheet (signature page)
 - KDHE budget page
 - Budget narrative
 - Current list of 5 key communicators
 - Current LICC list (identify two parents)
 - Any system changes
 - Signed assurances
 - NCIP

Application Checklist

- KDHE uses the following checklist to evaluate the suitability of every application:
 - Face sheet complete
 - Lead and fiscal agents & LICC chair signatures
 - Correct geographical description
 - Budget page complete
 - Budget math correct
 - Administrative costs are $< 5\%$
 - Categorical aid is appropriate

Application Checklist (cont.)

- Part C grant request is accurate
- Budget narrative includes explanation of local funding
- Budget narrative justifies Part C requests
- Assurances signed by lead and fiscal agents, & LICC chair
- 5 key communicators listed
- List of current LICC, who they represent, & executive board members
- Community collaborative plan/progress notes

How are Local Awards Determined?

- KDHE uses a funding formula that was determined by a vote of local coordinators 4½ years ago.
- The formula is weighted:
 - 75% is based on the previous July – December (6-month) cumulative count
 - 25% is based on the previous 3 years' live birth data

Why has Our Local Award Changed?

- The amount of funds available may have increased or decreased
- Local cumulative counts over the previous fall's 6-month period may have fluctuated
- Demographic shifts may affect the 3-year live birth rate within local program service areas

Why has Our Local Award Changed? (cont.)

- Even if 1) KDHE's total available funds are stable; 2) your local cumulative count is stable, and 3) your live birth rate is stable, your local program may see an increase or decrease in the award amount.
- We operate with a finite pot of funding that is appropriated proportionally, according to the funding formula.

Why has Our Local Award Changed? (cont.)

- (SEE HANDOUTS)
- Statewide, there was a 4.24% year-over-year increase in the six-month cumulative count (75% of the formula)
- Also, there was a 1.3% statewide increase in the three-year live birth rate (25% of formula).
- Assuming that funding is identical to last year, a local program would have needed to increase by 4.24% in cumulative count and 1.30% in 3-year live birth rate just to maintain identical funding.
- Increases or decreases in cumulative counts create more dramatic shifts, because they account for 75% of the formula.

Network Continuous Improvement Plan (NCIP)

- The NCIP is the most important performance evaluation and planning document used by KDHE to monitor local programs.
- Localized version of OSEP's Annual Performance Report (APR) that states must complete annually

Components of the NCIP



- Local Program Supervision (6 objectives)
- Child Find and Public Awareness (2)
- Family Centered Services (1)
- Natural Environments (7)
- Early Childhood Transition (1)

KDHE Expectations for NCIP's

- Local programs that have 1) achieved targets, 2) reached compliance on a specific determinations indicator, 3) approval by KDHE, and 4) not recorded regression on a specific objective do not need to answer that particular objective.
- Any objective where this is not true must be addressed.

Completing the NCIP



- Every data report that is necessary to complete the NCIP is furnished by KDHE.
- Locally collected and analyzed data may be used to enhance a response, but is not required.

SFY 2009 NCIP Data Sources

- Local programs should utilize the following data sources or reports:
 - Semi-Annual Report Data Sheets (Jan.-June & July-Dec., 2007)
 - 2008 Local Program Determinations Table
 - Entrance/Exit Parent Survey Results (5/1/07-4/11/08)
 - 2007 Federal Data Tables
 - Cumulative Count by County Table
 - 2007 NCIP Response Letter

Semi-Annual Report Data Sheets

- Includes the previous 12 reporting periods
- Compares springs to springs and falls to falls
- Indicates activities related to: 1) child-find, 2) eligibility, 3) timeliness, 4) and total services
- Compares to statewide data
- Good source of trend data

Entrance/Exit Parent Survey

Results



- Surveys distributed to every family at initial IFSP and during transition.
- Compiled by program and compared to statewide data
- Validated by scientific survey (random survey administered by KDHE) data
- Valuable source of parent perceptions

2007 Federal Data Tables

- Include data reported to OSEP on: 1) demographics, 2) service settings, and 3) transition
- Gives a “snapshot” (December 1) count of the number of children in services
 - OSEP uses December 1 count to measure the percent of children receiving Part C services, so KDHE uses this number, as well.

Cumulative Count by County

- Shows, by county, *the cumulative* percent of children receiving Part C services in 2007.
- NOTE: This is not the number OSEP uses-this is a cumulative count and is therefore considerably higher.
- Useful for programs serving multiple counties to identify specific areas that may be underserved
- KDHE analysis: in general the greater the distance from local program headquarters, the lower the percent served becomes.

2007 NCIP Response Letter

- KDHE reviews the recommendations from the previous year's response letters to determine if local programs have addressed objectives identified as needing improvement.
- Useful for local programs in predicting areas in which KDHE will focus attention in the 2008 NCIP.

2008 Determinations



- Data derived from Kansas' 2/1/08 APR:
 - 2007 Semi-Annual Reports
 - Entrance/Exit Parent Surveys through 12/19/07
 - 2007 Federal Data Tables
 - Timely Updates of I-T Database
 - Signed, Written Parent Complaints – none

Determinations: OSEP Requirements of States

- Pursuant to 616(a)(1)(C)(i) and 300.600(a) [for Part B]
 - States are required to make “Determinations” annually under 616(d) on the performance of LEAs/EIS programs

OSEP Requirements of States

(cont.)

- States must use the same four categories as OSEP in making Determinations of the status of local programs. These categories are:
 - Meets Requirements
 - Needs Assistance
 - Needs Intervention
 - Needs Substantial Intervention

OSEP Requirements of States

(cont.)

- States must consider...
 - Performance on compliance indicators;
 - Whether data submitted by local programs are valid, reliable, and timely;
 - Uncorrected noncompliance from other sources; and
 - Any audit findings.
- In addition, States could also consider...
 - Performance on performance indicators; and
 - Other information.

Reporting Requirements

- IDEA does not require States to report Determination decisions to the US Dept. of Education, or to the public.
 - Data used to make the determinations must be publicly reported
- States must inform each local program of their Determination.

Scoring Methodology

(By Indicator)

- 0 points=Meets Requirements:** Local performance is at or above the target percentage for the given indicator.
- 1 point=Needs Assistance:** Local performance on a given indicator is below the target percentage for one or two consecutive years.
- 2 points=Needs Intervention:** Local performance on a given indicator is below the target for three consecutive years.
- 3 points=Needs Substantial Intervention:** Local performance on a given indicator is below the target percentage for four consecutive years.

Scoring Methodology

(Program Composite)

- Tally the total points on the 14 indicators for a local program point total. Local program Determinations categories are as follows:

Program Meets Requirements	=	0 points
Program Needs Assistance	=	1-4 points
Program Needs Intervention	=	5-8 points
Program Needs Substantial Intervention	≥	9 points

Determinations Reporting

- KDHE is required by OSEP to publicly report local performance.
- This requirement pertains to indicator-specific performance, not program Determinations.
- Local program Determinations will be made via letters to local coordinators.
- Locals can anticipate program Determinations based on the adopted scoring rubric.

Important Notes About the Determinations

- Determinations are based on data presented in the most recently submitted state Annual Performance Report (APR), and only cover that particular reporting period.
- Prior or subsequent data can be used in determining a course of corrective action (or inaction), but is not reflected in actual performance.

Important Notes About the Determinations (cont).

- Determinations will be made annually for at least the duration of the six-year State Performance Plan.
- Targets for indicators 4, 5, and 6 increase annually. Static local performance that is compliant one year may not be compliant the next year.

NCIP Development Process

- Local program administrators & LICC:
 - Review data sources and reports provided by KDHE
 - Compare the data to results from previous reports
 - Evaluate the progress/regression that occurred in the SFY 2007 NCIP.
 - Determine causes for the progress/regression

NCIP Development Process

(cont.)

- Local program administrators & LICC:
 - Evaluate the effectiveness of improvement activities in last year's plan
 - Set new goals for sustaining or improving certain objectives
 - Complete the relevant portions of the NCIP that will address all areas identified as needing improvement
 - Submit, with grant application, to KDHE

KDHE Review

- KDHE uses the same data sources that are provided to local programs to evaluate every NCIP.
- In reviewing the data, KDHE identifies priorities for local programs, and consults the local NCIP to ensure that the priority has been addressed adequately

KDHE Review (cont.)

- Compliant indicators: KDHE appreciates your efforts.
- If a local program has an adequate improvement plan for a deficient objective, with goals and improvement activities in writing, then KDHE allows the plan time to work.

KDHE Review (cont.)

- If a compliance issue has not been adequately addressed, then KDHE will either recommend or require modifications to the plan and improvement activities.
- KDHE uses data collected periodically through the year to evaluate the effectiveness of improvement activities and overall program performance.

Focused Monitoring Methods

- KDHE employs the following targeted monitoring tools if the local agency is in need of assistance:
 - 1) LICC Meetings and Presentations
 - 2) Parent Interviews
 - 3) Site Visits
 - 4) File Reviews
 - 5) KITS Technical Assistance Plans

Questions / Comments?



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